# **RESEARCH ARTICLE**



# The Role of Layer-Specific Residual Stresses in Arterial Mechanics: Analysis via a Novel Modelling Framework



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# Abstract

The existence of residual stresses in unloaded arteries has long been known. However, their effect is often neglected in experimental studies. Using a recently developed modelling framework, we aimed to investigate the role of residual stresses in the mechanical behaviour of the tri-layered wall of the pig thoracic aorta. The mechanical behaviour of the intact wall and isolated layers of n = 3 pig thoracic aortas was investigated via uniaxial tensile testing. After modelling the layer-specific mechanical data using a hyperelastic strain energy function, the layer-specific deformations in the unloaded vessel were estimated so that the mechanical response of the computationally assembled tri-layered flat wall would match that measured experimentally. Physiological tension-inflation of the cylindrical trilayered vessel was then simulated, analysing changes in the distribution of stresses in the three layers when neglecting residual stresses. In the tri-layered model with residual stresses, layers exhibited comparable stresses throughout the physiological range of pressure. At 100 mmHg, intimal, medial, and adventitial circumferential load bearings were  $16 \pm 3\%$ ,  $59 \pm 4\%$ , and  $25 \pm 2\%$ , respectively. Adventitial stiffening at high pressures produced a shift in load bearing from the media to the adventitia. When neglecting residual stresses, in vivo stresses were highest at the intima and lowest at the adventitia. Consequently, the intimal and adventitial load bearings,  $23 \pm 2\%$  and  $18 \pm 3\%$  at 100 mmHg, were comparable at all pressures. Residual stresses play a crucial role in arterial mechanics guaranteeing a uniform distribution of stresses through the wall thickness. Neglecting these leads to incorrect interpretation of the layers' role in arterial mechanics.

Keywords: Residual stresses, Tri-layered arterial wall modelling, Intima, Media, Adventitia

# **1** Introduction

The arterial wall is characterised by a strong relationship between structure and function [1, 2], so that arterial structure varies considerably at different locations in the arterial tree [2]. Large elastic arteries are located in proximity of the heart. At a macroscopic level, these arteries are organised in three concentric layers, the intima, the media and the adventitia, each playing a pivotal role in

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arterial function. The intima, the innermost layer, interacts directly with the blood flow and provides a small contribution to the wall's mechanics due to its small thickness. The media, the middle and thickest layer, determines the elastic behaviour that characterises large arteries at physiological pressures [3] and endows them with their blood pressure buffering (or Windkessel) function, which smooths the intermittent pumping action of the heart into a more continuous blood pressure ensuring organ perfusion also in diastole. The adventitia is the outermost layer and is typically described as a protective sleeve that preserves the wall's integrity at high pressures [2].



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To fulfil their specific function, arterial layers differ significantly at the microstructural level. The intima is constituted mainly by endothelial cells, a thin basement membrane, a proteoglycan rich matrix and collagen fibres oriented in the axial direction [4, 5]. The media is organised in concentric medial lamellar units, alternating elastin-rich lamellae and inter-lamellar spaces composed of vascular smooth muscle cells, elastin and collagen fibres which are predominantly oriented in the circumferential direction [3, 4, 6]. The adventitia is mainly constituted by bundles of diagonally oriented collagen fibres, showing larger angular dispersion than in the media. Further, unlike the intima and the media, the adventitia is relatively acellular [7, 8].

Understanding the role each layer plays in arterial function has been the objective of several investigations, using different experimental techniques such as selective enzymatic digestion of specific wall constituents [9, 10] and imaging the wall's microstructure at different levels of distending pressure [3, 11, 12]. The separation and mechanical testing of isolated layers is a useful technique that directly assesses how their different microstructures determine their mechanical behaviour. This technique has been used to characterise the layer-specific mechanics in several arterial locations, species and in both physiological and pathological conditions [13-19]. Moreover, constitutive modelling, i.e. fitting structurally motivated mathematical models that describe the wall's mechanical behaviour as the summed contribution of the response of its constituents, has allowed investigating how layer-specific microstructural features affect the layer's mechanical properties, including anisotropy and recruitment of collagen fibres [13–19].

While characterising the behaviour of isolated layers provides some insight into the tri-layered arterial wall mechanics, it is not sufficient to fully understand their role in the macroscopic behaviour of arteries. Indeed, while an excised unloaded artery is subjected to null external loads in all three principal directions (i.e. circumferential, radial and axial), local stresses at any generic point within the arterial wall's volume are, in general, non-zero [20, 21]. As shown in previous studies [22–24], this particular feature of arteries likely arises from the fact that their wall develops and remodels in its loaded state (i.e. in vivo), attempting to preserve a uniform distribution of stresses throughout its thickness. When all external loads are removed, however, its inhomogeneous material properties and in vivo deformation field lead to the existence of residual stresses.

Residual stresses were first discovered in 1983 when two studies [21, 25] observed that, when cut radially, an arterial ring typically does not retain its circular shape but springs open and assumes an arc shape. This implies that the unloaded vessel represents an equilibrium configuration between residual stresses acting throughout the arterial wall thickness that are compressive and tensile in the inner and outer part of the wall, respectively [26]. When a radial cut is performed, the geometrical constraint that guarantees this equilibrium is lost and the artery deforms to release said residual stresses, reaching a new equilibrium in its stress-free configuration. Knowledge of the inverse of this deformation is, therefore, crucial to determine the layer-specific prestress in the artery unloaded state and constitutes the link to fully understand the complex tri-layered mechanics of the arterial wall.

The circumferential component of this deformation is commonly quantified via an opening angle (OA), e.g. the angle formed by connecting the two endpoints of the arc-shaped circumferential wall sample to its mid-point [22]. Knowledge of the OA allows estimating the distribution of the circumferential deformation throughout the wall thickness of the unloaded artery, thus allowing to determine its pre-stressed state [23]. Further, a more comprehensive characterisation of the layer-specific three-dimensional geometry of excised circumferential and axial wall strips allows formulating complex models that predict the distribution of residual stresses in all three principal directions throughout the wall thickness [20, 27]. However, the implementation of such models is non-trivial and residual stresses are often neglected when modelling the tri-layered arterial wall [28, 29].

We recently developed a new tri-layered modelling framework that allows accounting for layer-specific residual stresses using only intact wall and layer-specific uniaxial test data [30]. Using the newly developed modelling framework, the present study aimed to illustrate the effects of residual stresses on arterial mechanics and how neglecting these can lead to considerable misinterpretation of the specific role arterial layers play in the macroscopic behaviour of the arterial wall. We will simulate three different scenarios: (1) a complete model with residual stresses, (2) a model where the unloaded vessel is considered stress free (i.e. completely neglecting all residual stresses), and (3) a model where a flat slab of wall tissue (as typically tested in planar biaxial tensile experiment) is used as an approximation of a cylindrical vessel.

## 2 Methods

## 2.1 Theoretical Background

### 2.1.1 Tri-layered Wall Model

A complete description of the mathematical formulation of the tri-layered wall model can be found in our previous study [30]. Briefly, the arterial wall is assumed to be comprised of three adequately spaced membranes representing the intima, media, and adventitia. The composition of the wall from isolated layers to unloaded cylindrical vessel is described via two mapping steps: first, the three layers are assembled into a flat rectangular slab of arterial wall tissue. Due to the presence of residual stresses, layers change their shape when isolated from the wall. The layer-specific deformation gradient  $\mathbf{G}^k$  (where  $k \in \{i, m, a\}$ , i=intima, m =media, and a=adventitia) describes the inverse of this process, i.e. the deformation from the isolated layer configuration  $\kappa_{\text{isolated}}$  in Cartesian coordinates  $(\mathcal{X}, \mathcal{Y}, \mathcal{Z})$  to trilayered flat wall slab  $\kappa_{\text{composite}}$  in  $(\mathbb{X}, \mathbb{Y}, \mathbb{Z})$ . For each layer k,

$$\mathbf{G}^{k} = \operatorname{diag}\left[\hat{\lambda}_{\mathbb{X}}^{k}, \frac{1}{\hat{\lambda}_{\mathbb{X}}^{k}\hat{\lambda}_{\mathbb{Z}}^{k}}, \hat{\lambda}_{\mathbb{Z}}^{k}\right], \tag{1}$$

where  $\hat{\lambda}_{\mathbb{X}}^{k} = l_{\mathbb{X}}/L_{\mathcal{X}}^{k}$  and  $\hat{\lambda}_{\mathbb{Z}}^{k} = l_{\mathbb{Z}}/L_{\mathcal{Z}}^{k}$  are the circumferential and axial components of  $\mathbf{G}^{k}$ ,  $l_{\mathbb{X}}$  and  $l_{\mathbb{Z}}$  are the circumferential and axial dimensions of the composite wall, and  $L_{\mathcal{X}}^{k}$  and  $L_{\mathcal{Z}}^{k}$  are the circumferential and axial lengths of the isolated layer k. Note that the radial stretch is determined from incompressibility [31].

The second mapping step describes the deformation of the flat tri-layered wall,  $\kappa_{\text{composite}}$ , into an unloaded cylindrical vessel,  $\kappa_{\text{unloaded}}$  in cylindrical coordinates ( $\Theta$ , *R*, *Z*). The related deformation gradient is

$$\mathbf{F}_{1} = \operatorname{diag}\left[\Lambda_{\Theta}, \ \frac{1}{\Lambda_{\Theta}\Lambda_{Z}}, \ \Lambda_{Z}\right], \tag{2}$$

where the axial stretch  $\Lambda_Z$  is assumed to be constant throughout the wall and the circumferential component is calculated as

$$\Lambda_{\Theta} = \sqrt{\frac{4\pi^2 R_{\text{internal}}^2}{l_{\mathbb{X}}^2} + \frac{4\pi \,\mathbb{Y}}{l_{\mathbb{X}} \Lambda_Z}},\tag{3}$$

where  $R_{\text{internal}}$  is the radius of the artery at the luminal surface.

The layer-specific residual stresses in  $\kappa_{\text{unloaded}}$  arise from the total deformation from  $\kappa_{\text{isolated}}$  to  $\kappa_{\text{unloaded}}$ 

$$\mathbf{F}_{\text{residual},k} = \mathbf{F}_{1}\mathbf{G}^{k} = \text{diag}\Big[\Lambda_{\Theta}\widehat{\lambda}_{\mathbb{X}}^{k}, \frac{1}{\Lambda_{\Theta}\widehat{\lambda}_{\mathbb{X}}^{k}\Lambda_{Z}\widehat{\lambda}_{\mathbb{Z}}^{k}}, \Lambda_{Z}\widehat{\lambda}_{\mathbb{Z}}^{k}\Big].$$
(4)

The deformation gradient  $\mathbf{F}_2$  maps the tension–inflation of the cylindrical vessel to a simulated in vivo configuration,  $\kappa_{\text{tension-inflation}}$  in cylindrical coordinates ( $\theta$ , *r*, *z*). As for  $\mathbf{F}_1$ , the axial component of  $\mathbf{F}_2(\lambda_z)$  is assumed to be constant throughout the wall.  $\mathbf{F}_2$  is defined as

$$\mathbf{F}_{2} = \operatorname{diag}\left[\lambda_{\theta}, \frac{1}{\lambda_{\theta}\lambda_{z}}, \lambda_{z}\right],$$
(5)

with

$$\lambda_{\theta} = \sqrt{\frac{r_{\text{internal}}^2}{R^2} + \frac{R^2 - R_{\text{internal}}^2}{R^2 \lambda_z}},\tag{6}$$

where  $r_{\text{internal}}$  is the luminal radius in  $\kappa_{\text{tension-inflation}}$ .

The total deformation each layer is subjected to in  $\kappa_{\text{tension-inflation}}$  is given by

$$\mathbf{F}_{\text{total},k} = \mathbf{F}_{2}\mathbf{F}_{\text{residual},k}$$

$$= \text{diag}\left[\lambda_{\theta}\Lambda_{\Theta}\widehat{\lambda}_{\mathbb{X}}^{k}, \frac{1}{\lambda_{\theta}\Lambda_{\Theta}\widehat{\lambda}_{\mathbb{X}}^{k}\lambda_{z}\Lambda_{Z}\widehat{\lambda}_{\mathbb{Z}}^{k}}, \lambda_{z}\Lambda_{Z}\widehat{\lambda}_{\mathbb{Z}}^{k}\right].$$
(7)

## 2.1.2 Layer-Specific Constitutive Modelling

The mechanical behaviour of the three isolated arterial layers was modelled using the Holzapfel–Gasser–Ogden (HGO) two fibre family strain energy function (SEF) [32]. The passive behaviour of each layer is assumed to be determined by the summed contribution of an isotropic matrix, typically associated with elastin, and two symmetrically oriented families of collagen fibres that determine the wall's anisotropy:

$$\Psi^{k} = \mu^{k}(I_{1} - 3) + \sum_{i=1}^{2} \frac{c_{1}^{k}}{2c_{2}^{k}} \left(e^{c_{2}^{k} \left[\rho^{k}(I_{1}) + \left(1 - 3\rho^{k}\right)I_{4,i} - 1\right]^{2}} - 1\right),$$
(8)

where  $\mu^k$  is an isotropic stiffness-like parameter  $(k \in \{i, m, a\}, i=intima, m=media, and a=adventitia), c_1^k$ is a collagen stiffness-like parameter,  $c_2^k$  is a dimensionless collagen non-linearity parameter, and  $\rho^k \in [0, \frac{1}{3}]$  is a fibre dispersion coefficient, with  $\rho = 0$  denoting fully aligned and  $\rho = \frac{1}{3}$  denoting fully dispersed fibres (i.e. isotropic behaviour).  $I_1$  and  $I_{4,i}$  are the first and fourth invariant of the right Cauchy-Green tensor, respectively, with  $i \in \{1, 2\}$  indicating the collagen fibre family with principal orientation  $\alpha_{1,2}^k = \{-\alpha^k, \alpha^k\}$  with respect to the circumferential orientation. Note that the layer-specific fibre orientation  $\alpha_{1,2}^k$  was not derived from microscopy data but phenomenologically estimated from the measured mechanical behaviour. For this reason, these angles should not be regarded as exact measures of the fibre orientation in the arterial layers but rather as a general quantification of the collagen-induced tissue anisotropic properties.

The Cauchy stress tensor is defined as

$$\boldsymbol{\sigma}^{k} = -p\mathbf{I} + 2\mathbf{F}_{\text{total},k} \frac{\partial \Psi^{k}}{\partial C_{\text{total},k}} \mathbf{F}_{\text{total},k}^{\mathrm{T}}, \tag{9}$$

where **I** is the spatial second order identity tensor and *p* is the Lagrange multiplier enforcing incompressibility [31].

#### 2.2 Experimental Methods

Three pig plucks (i.e. the content of the thorax: heart, thoracic aorta, lungs, trachea, and liver) (age 6-12 months) were purchased from a local abattoir (samples from school, UK). Delivered frozen, each pluck was immediately stored at -20 °C in a laboratory

freezer until the day of testing when it was left to thaw at room temperature for ~4 h. The aorta was then carefully dissected from the rest of the tissues using a scalpel. Two circumferentially and two axially oriented arterial strips (approximately  $4 \times 25 \text{ mm}^2$ ) were isolated from the region of the descending thoracic aorta characterised by the branching of the intercostal arteries. Their width and thickness were carefully measured three times along the strip length using a high precision digital calliper. The mean of the three measurements was used for later analysis. Each strip was then subjected to uniaxial tensile testing in its long direction using a highresolution uniaxial tensile device (MFS Stage with 20N load cell, Linkam Scientific Ltd., UK) equipped with serrated jaws to prevent slipping of the sample. The interjaw distance was initially set to 15 mm and then slowly increased until the sample laid flat. This flat length was considered as the unloaded sample length. Strips were uniaxially tested up to a Cauchy stress of 250 kPa after performing five loading/unloading cycles up to the same Cauchy stress. After testing, each strip was separated into its three layers using tweezers, first carefully peeling the adventitia from the intima-media and then isolating the intima from the media. As done for the intact wall, the layer's dimensions were measured at three locations along the strip length and the average values used for later analysis. Then, each layer was tested following the same protocol described for the wall strips.

The wall's and layer's experimental Cauchy stress was calculated as [33]

$$\sigma_{ii,\text{exp}}^{k} = \frac{F}{A_0} \overline{\lambda}_i^{k}, \qquad (10)$$

where *F* is the force measured by the load cell,  $A_0$  is the sample unloaded cross-sectional area, and  $\overline{\lambda}_i^k$  is the stretch in which direction *i* (circumferential or axial) the sample  $k \in \{\text{wall}, i, m, a\}$  is loaded during the uniaxial test.

#### 2.3 Parameter Estimation

The fitting routine of all the model parameters has been described previously [30], and is briefly summarised below.

#### 2.3.1 Layer-Specific Constitutive Parameters

The five layer-specific constitutive parameters in Eq. 8 were fitted on the layer-specific mechanical data, minimising the error between the individual layer's experimental (Eq. 10) and modelled (Eq. 9) Cauchy stress in the loading direction for the uniaxial tests in the circumferential and axial direction simultaneously. Since the off-axis deformation  $\overline{\lambda}_{j}^{k}$  (i.e.  $j \in \{\text{axial, circumferential}\}$  corresponding to the loading directions  $i \in \{\text{circumferential, axial}\}$ ) was not measured during the experiments, this was determined by imposing  $\sigma_{i}^{k} = 0$  and enforcing incompressibility.

# 2.3.2 Determination of the Layer-Specific Deformation in *k*unloaded

As shown in Eq. 4, determining the layer-specific deformation gradient in  $\kappa_{unloaded}$  requires estimating  $\mathbf{G}^k$  and  $\mathbf{F}_1$ . The axial and circumferential components of  $\mathbf{G}^k$  of the three layers were fitted on the mechanical data of the intact wall, simultaneously minimising the error between the wall experimental Cauchy stress (Eq. 10) and that obtained when simulating the uniaxial tensile test of the tri-layered composite wall in both circumferential and axial directions. The average modelled Cauchy stress of the composite wall was calculated as

$$\boldsymbol{\sigma}^{\text{wall}} = \frac{h^{i}\boldsymbol{\sigma}^{i} + h^{m}\boldsymbol{\sigma}^{m} + h^{a}\boldsymbol{\sigma}^{a}}{h^{\text{wall}}}.$$
 (11)

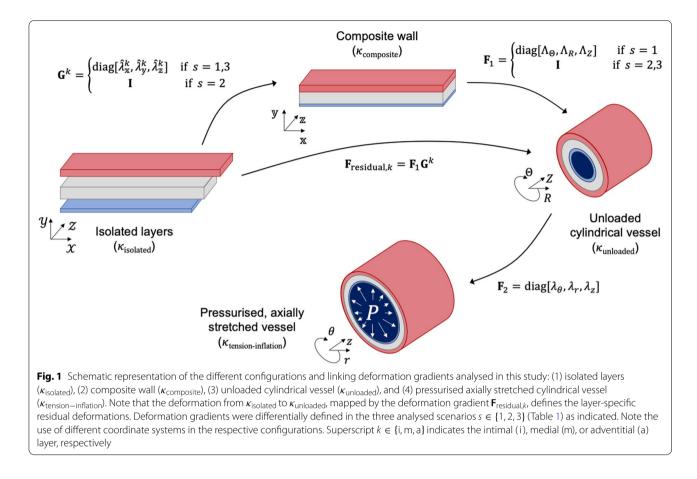
As described in the introduction, the unloaded configuration of the vessel ( $\kappa_{unloaded}$ ) implies zero average wall stresses in all three principal directions. Therefore,  $\Lambda_Z$  and the layer-specific  $\Lambda_{\Theta}$  were estimated iteratively by simultaneously minimising  $\sigma_{\Theta\Theta}^{wall}$ ,  $\sigma_{Ral}^{wall}$ , and  $\sigma_{ZZ}^{wall}$ .

# 2.3.3 Simulation of the Tension-Inflation

To assess the contribution of residual stresses to the wall mechanics, we simulated three tension–inflation scenarios: (1) full tri-layered model including layer-specific residual stresses ( $\mathbf{F}_{\text{residual},k} = \mathbf{F}_1 \mathbf{G}^k$ ), (2) tri-layered model where residual stresses are completely neglected ( $\mathbf{F}_{\text{residual},k} = \mathbf{I}$ ), and (3) assuming  $\mathbf{F}_1 = \mathbf{I} (\mathbf{F}_{\text{residual},k} = \mathbf{G}^k)$  (Table 1 and Fig. 1). Case 1 represents the closest approximation of the in vivo condition; cases 2 and 3

**Table 1** Description of the three modelling scenarios analysed in this study

Scenario	F <sub>residual,k</sub>	Description		
1 $\mathbf{F}_{1}\mathbf{G}^{k}$		Layer-specific residual stresses in the unloaded cylindrical vessel ( $\kappa_{unloaded}$ ) arise from two subsequent deformations of the isolated layers ( $\kappa_{isolated}$ ): (1) into a tri-layered flat wall ( $\kappa_{composite}$ ) that is (2) then bent into $\kappa_{unloaded}$		
2	I.	Residual stresses in $\kappa_{ m unloaded}$ are completely neglected as no deformation occurs from $\kappa_{ m isolated}$ to $\kappa_{ m unloaded}$		
3	$\mathbf{G}^k$	Layer-specific residual stresses in $\kappa_{unloaded}$ arise solely from the deformation of the isolated layers ( $\kappa_{isolated}$ ) into $\kappa_{composite}$ . Hence, $\kappa_{composite}$ is used as an approximation of $\kappa_{unloaded}$		



replicate two possible modelling approximations. In case 2,  $\kappa_{unloaded}$  is assumed to be a stress-free configuration (i.e. all three layers are undeformed in these configurations). In case 3, the flat arterial wall (which is the typical configuration of uniaxial and biaxial tensile tests) is assumed to be a good approximation of the cylindrical vessel, thus neglecting the deformation from  $\kappa_{composite}$  to  $\kappa_{unloaded}$ .

Except for the definition of  $\mathbf{F}_{\text{residual},k}$ , all three cases followed the same modelling process. First, the simulated in vivo axial stretch  $\lambda_z$  was determined as the average cross-over point between simulated reduced axial force-axial stretch relationships at the distending pressure levels P = 60, 100, and 140 mmHg [34]. The reduced axial force  $F_z$  was calculated as

$$F_z = \sum_{k=i,m,a} \left( 2\pi \sigma_{zz}^k r^k h^k \right) - \pi r_{\text{internal}}^2 P, \qquad (12)$$

where  $r^k$  is the mid-wall radius of the layer *k*. Given *P*, the corresponding  $r_{\text{internal}}$  was estimated iteratively to satisfy the Laplace equation

$$P = \sum_{k=i,m,a} \left( \sigma_{\theta\theta}^k - \sigma_{rr}^k \right) \frac{h^k}{r^k}.$$
 (13)

Then, pseudo-physiological tension–inflation was simulated by axially stretching the vessel to  $\lambda_z$  and increasing luminal pressure from 0 to 200 mmHg.

Layer-specific and whole-wall stresses were determined using Eqs. 9 and 11, respectively. The percentage of load bearing for each layer was determined as

Load bearing % = 
$$\frac{\sigma_{\theta\theta}^k h^k}{\sigma_{\theta\theta}^{\text{wall}} h^{\text{wall}}} \cdot 100\%.$$
 (14)

The circumferential material stiffness  $C_{\theta\theta\theta\theta}$  was calculated according to the small-on-large formulation [35] and evaluated continuously as a function of the distending pressure:

$$\mathcal{C}_{\theta\theta\theta\theta} = 2(\sigma_{\theta\theta} + p) + 4\lambda_{\theta}^{4} \frac{\partial^{2}\Psi}{\partial(\lambda_{\theta}^{2})^{2}}.$$
(15)

#### 2.4 Statistical Analysis

For each aorta, tensile tests were conducted on two axially oriented and two circumferentially oriented strips. The layer-specific constitutive and tri-layered modelling was then conducted in pairs (i.e. circumferential strip 1 with axial strip 1 and circumferential strip 2 with axial strip 2) and the presented results of each artery are the mean of the two.

Results are presented as mean  $\pm$  standard deviation of the three tested aortas. Outcome parameters (wall stresses, material stiffness, load bearing %) were first evaluated continuously as a function of pressure. Then, comparison between modelling conditions (i.e. with and without residual stresses) was carried out at the reference normotensive mean arterial pressure (100 mmHg) and at 160 mmHg, representing the average hypertensive systolic pressure, using paired student's *t* tests. *p* < 0.05 was taken as statistically significant.

## **3 Results**

# 3.1 Wall and Layer-Specific Response to Uniaxial Testing

The wall and layer geometrical features of the three pig thoracic aortas tested in this study are reported in Table 2. The mean radius and wall thickness were 7.79  $\pm$  0.45 mm and 1.74  $\pm$  0.31 mm, respectively. The isolated layer thicknesses were 0.28  $\pm$  0.10 mm for the intima, 0.89  $\pm$  0.24 mm for the media and 0.51  $\pm$  0.07 mm for the adventitia, corresponding to 17  $\pm$  2%, 52  $\pm$  3% and 31  $\pm$  1% of the wall thickness, respectively.

Figure 2 presents the wall and layer-specific response to uniaxial tensile testing in both circumferential and axial directions. The wall was stiffer circumferentially than axially at stretches below 1.3 but became increasingly isotropic at higher deformations. This complex behaviour reflects the heterogeneity of the layer responses. Layer-specific model parameters of the three aortas are reported in Table 3. Both intima and media showed considerable anisotropy (Fig. 2), with  $\alpha^k$  ranging from 36.2°– 38.9° (intima) and 28.8°-35.5° (media), with respect to the circumferential direction. Conversely, the adventitia displayed a nearly isotropic behaviour (Fig. 2), if not slightly stiffer in the axial direction ( $\alpha^a = 43.0^\circ - 49.5^\circ$ ). Further, the non-linearity parameter  $c_2^k$  was more than an order of magnitude higher in the adventitia than in both intima and media, signifying a more pronounced stiffening (non-linearity) with increasing stretch.

# 3.2 Tri-layered Wall Modelling

# 3.2.1 Layer-Specific Deformation in Kunloaded

The layer-specific components of the deformation gradients  $\mathbf{G}^k$ ,  $\mathbf{F}_1$ , and  $\mathbf{F}_{\text{residual},k} = \mathbf{F}_1 \mathbf{G}^k$  are presented in Table 4. In the flat wall configuration  $\kappa_{\text{composite}}$ , the intima was subjected to tensile stretches in both circumferential and axial directions. Conversely, from  $\kappa_{isolated}$  to  $\kappa_{\rm composite}$ , both media and adventitia experienced deformations of opposite nature in the circumferential and axial directions: circumferential extension and axial compression for the media and circumferential compression and slight axial extension for the adventitia. As expected, the deformation from  $\kappa_{\text{composite}}$  to  $\kappa_{\text{unloaded}}$  (**F**<sub>1</sub>) introduced compressive and tensile circumferential deformations in the inner half and outer half of the wall thickness, respectively, with negligible deformations in the axial direction. As a result, the total circumferential deformation from  $\kappa_{isolated}$  to  $\kappa_{unloaded}$  (**F**<sub>residual</sub>) was strongly compressive  $(0.93 \pm 0.01)$  for the intima, slightly tensile (1.01)  $\pm$  0.01) for the media, and tensile (1.04  $\pm$  0.00) for the adventitia.

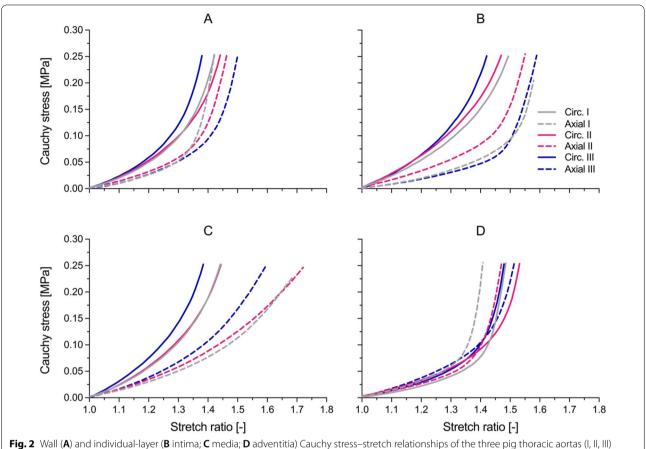
## 3.2.2 Simulated Tension-Inflation

Figure 3A-C presents the mean simulated pressurediameter relationships for the three scenarios considered in this study (Table 1 and Fig. 1). Interestingly, while pressure-diameter relationships appeared very similar in the three considered scenarios, the distribution of stresses between the three layers differed considerably: First, in the full tri-layered wall model with residual stresses,  $\sigma_{\theta\theta} - P$  and  $\sigma_{zz} - P$  relationships of the three layers appeared almost superimposed in the physiological pressure range (Fig. 4A, D). At a physiological pressure of 100 mmHg,  $\sigma_{\theta\theta}$  and  $\sigma_{zz}$  were 0.078  $\pm$  0.017 and 0.058  $\pm$  0.014 MPa, 0.107  $\pm$  0.013 and 0.062  $\pm$  0.010 MPa, and  $0.078\pm0.012$  and  $0.067\pm0.004$  MPa for intima, media, and adventitia, respectively. The percentage of circumferential load borne by the media (62  $\pm$  3%) was more than twice that of the adventitia  $(24 \pm 2\%)$  and four times that of the intima ( $14 \pm 3\%$ ; Fig. 5A, B). When pressure increased to 160 mmHg, the corresponding increase in  $\sigma_{\theta\theta}$  and  $\sigma_{zz}$  was comparable in the intima, 72  $\pm$  15% and 38  $\pm$  11%, and media, 65  $\pm$  12% and 34  $\pm$  7%, but

Table 2 Wall and	layer geometrica	l features of the thre	e pig thoracic aortas teste	ed in this study
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Sample #	<i>R</i> (mm)	h <sup>wall</sup> (mm)	h <sup>intima</sup> (mm)	h <sup>media</sup> (mm)	h <sup>adventitia</sup> (mm)
l	8.00	2.09	0.29	1.15	0.59
II	8.20	1.62	0.29	0.83	0.49
III	7.16	1.50	0.27	0.68	0.46
$Mean\pmSD$	$7.79 \pm 0.45$	$1.74 \pm 0.25$	$0.28 \pm 0.01$	$0.89 \pm 0.19$	$0.51 \pm 0.06$

SD standard deviation



included in this study. Solid and dashed lines indicate uniaxial tests in the circumferential (circ.) and axial directions, respectively. Each curve was obtained by averaging the sample behaviour obtained in the two tests executed for each loading direction

Layer	Sample #	$\mu^k$ (kPa)	<i>c</i> <sup><i>k</i></sup> <sub>1</sub> (kPa)	c <sub>2</sub> <sup>k</sup> (-)	<i>α<sup>k</sup></i> (°)	$ ho^k$ (–)	R <sup>2</sup>
Intima	I	12.7	163.5	0.1	36.6	0.20	0.98
	II	22.7	165.8	3.3	38.9	0.21	0.97
	III	6.5	249.5	1.1	36.2	0.20	0.96
Media	I	24.6	129.0	3.6	29.1	0.24	1.00
	II	30.1	95.7	4.0	28.8	0.21	1.00
	III	29.0	182.4	3.4	35.5	0.20	1.00
Adventitia	I	20.7	22.2	66.3	49.5	0.24	0.99
	II	26.5	13.7	40.9	48.8	0.17	0.99
	III	25.2	49.6	29.1	43.0	0.26	0.98

Table 3 Layer-specific parameters of the Holzapfel-Gasser-Ogden strain energy function of the three aortas included in this study

Parameters are defined in Eq. 8; R<sup>2</sup>, coefficient of determination of the simultaneous fitting of both circumferential and axial uniaxial tensile test data

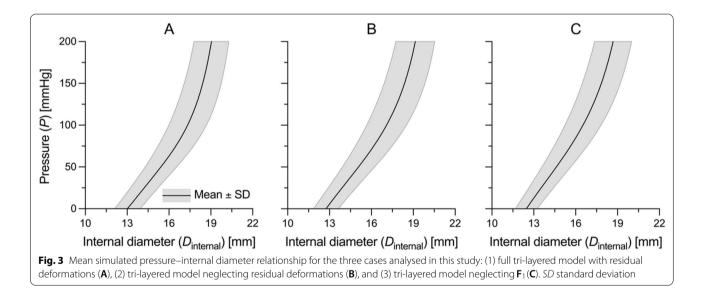
approximately twice as high in the adventitia (135  $\pm$  25% and 111  $\pm$  37%). As a result, while the intimal load bearing remained almost unaltered (13  $\pm$  3%), medial and adventitial load bearings dropped and grew by -6  $\pm$  3% and +7  $\pm$  4%, respectively. This shift in circumferential load bearing was caused by the biphasic  $C_{\theta\theta\theta\theta}$ -P

relationship of the adventitia; for pressures <90 mmHg, the adventitial  $C_{\theta\theta\theta\theta}$ -P relationship was comparable to the intimal and medial relationships, which were nearly linear over the entire investigated pressure range (Fig. 4G). Conversely, adventitial stiffening with increasing pressure was markedly higher than that of both

	Intima		Media		Adventitia	
G <sup>k</sup>	$\widehat{\lambda}^{i}_{\mathbb{X}}$	$\widehat{\lambda}^{i}_{\mathbb{Z}}$	$\widehat{\lambda}^{m}_{\mathbb{X}}$	$\widehat{\lambda}^{m}_{\mathbb{Z}}$	$\widehat{\lambda}^{a}_{\mathbb{X}}$	$\widehat{\lambda}^{\mathrm{a}}_{\mathbb{Z}}$
	1.04	1.02	1.04	0.99	0.94	1.02
11	1.02	1.05	1.03	0.97	0.98	0.99
III	1.03	1.00	1.01	0.99	0.97	1.02
$Mean\pmSD$	$1.03 \pm 0.01$	$1.03 \pm 0.02$	$1.03 \pm 0.01$	$0.98 \pm 0.01$	$0.96 \pm 0.01$	$1.01 \pm 0.01$
F <sub>1</sub>	$\Lambda^{i}_{\Theta}$	Λ <sup>i</sup> Z	$\Lambda^{m}_{\Theta}$	$\Lambda_Z^m$	$\Lambda^{a}_{\Theta}$	$\Lambda^{a}_{Z}$
	0.89	1.00	0.98	1.00	1.09	1.00
11	0.92	1.00	0.98	1.00	1.07	1.00
III	0.92	1.00	0.98	1.00	1.07	1.00
$Mean\pmSD$	$0.91 \pm 0.01$	$1.00 \pm 0.00$	$0.98\pm0.00$	$1.00 \pm 0.00$	$1.07 \pm 0.01$	$1.00 \pm 0.00$
$F_{residual,k} = F_1 G^k$	$\widehat{\lambda}^i_\mathbb{X}\Lambda^i_{\Theta}$	$\widehat{\lambda}^{i}_{\mathbb{Z}}\Lambda^{i}_{Z}$	$\widehat{\lambda}^m_X \Lambda^m_{\Theta}$	$\widehat{\lambda}^{m}_{\mathbb{Z}} \Lambda^{m}_{Z}$	$\widehat{\lambda}^{a}_{\mathbb{X}}\Lambda^{a}_{\Theta}$	$\widehat{\lambda}^{a}_{\mathbb{Z}}\Lambda^{a}_{Z}$
	0.92	1.02	1.02	0.99	1.03	1.02
11	0.93	1.06	1.01	0.98	1.04	1.00
	0.95	1.00	1.00	0.99	1.03	1.02
Mean ± SD	$0.93 \pm 0.01$	$1.03 \pm 0.02$	$1.01 \pm 0.01$	$0.98 \pm 0.00$	$1.04 \pm 0.00$	$1.01 \pm 0.01$

**Table 4** Layer-specific circumferential and axial components of the deformation gradients  $\mathbf{G}^k$ ,  $\mathbf{F}_1$  and  $\mathbf{F}_{residual,k} = \mathbf{F}_1 \mathbf{G}^k$ .

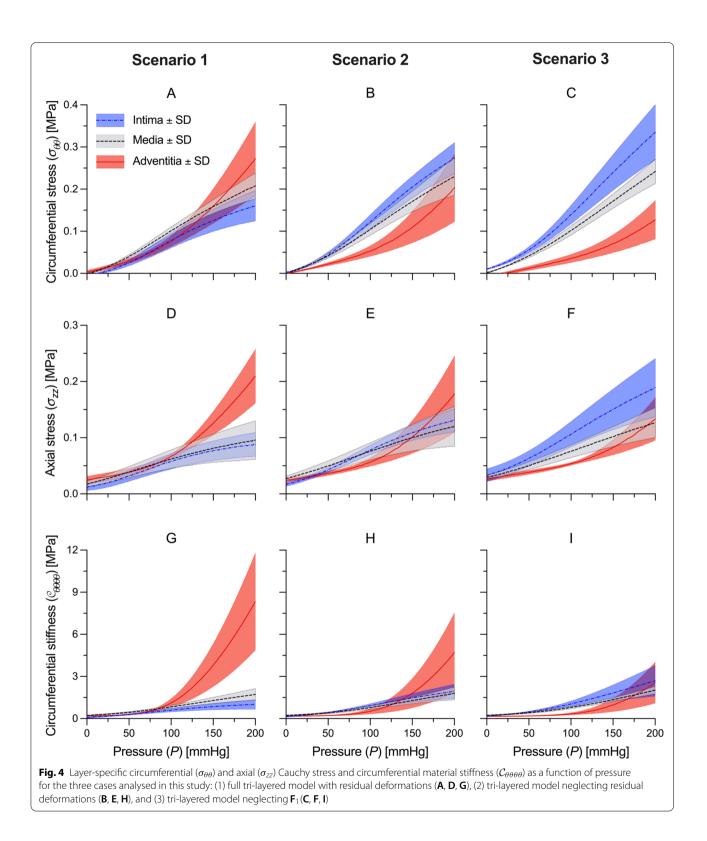
 $\mathbf{F}_{residual,k}$  defines the residual stretches experienced by each layer in the unload cylindrical vessel configuration ( $\kappa_{unloaded}$ )



intima and media at pressures >90 mmHg, so that  $C_{\theta\theta\theta\theta}$  at 160 mmHg was 4.20  $\pm$  1.71 MPa for the adventitia, approximately three and four times higher than that of media (1.34  $\pm$  0.28 MPa) and intima (0.95  $\pm$  0.27 MPa), respectively.

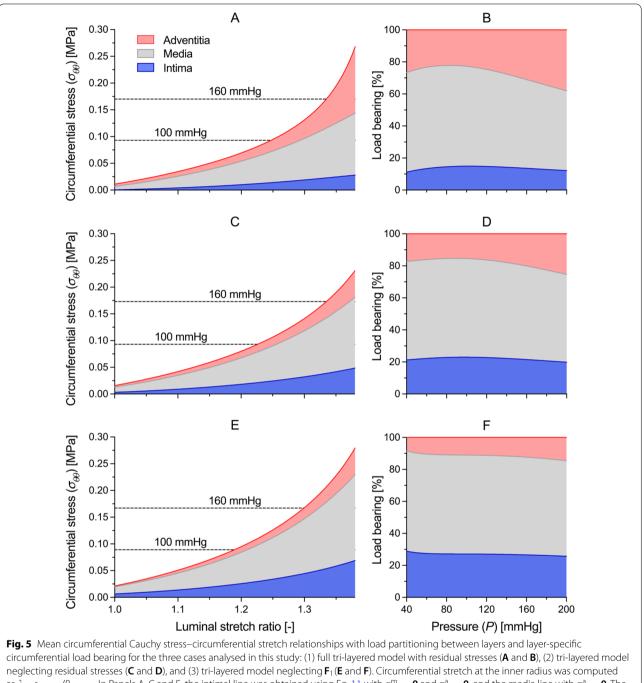
Second, fully neglecting the layer residual stresses ( $\mathbf{F}_{\text{residual},k} = \mathbf{I}$ ), strongly affected the distribution of both  $\sigma_{\theta\theta}$  and  $\sigma_{zz}$  among layers;  $\sigma_{\theta\theta}$  and  $\sigma_{zz}$  were highest and lowest at the intima and adventitia, respectively, over most of the investigated pressure range (Fig. 4B, E). At 100 mmHg, intimal  $\sigma_{\theta\theta}$  and  $\sigma_{zz}$  and medial  $\sigma_{zz}$ 

were  $60 \pm 22\%$  (p = 0.014),  $39 \pm 18\%$  (p = 0.032) and  $24 \pm 9\%$  (p = 0.018) higher than corresponding values in the full model with residual stresses, respectively, while those of the adventitia were  $32 \pm 5\%$  (p = 0.091) and  $16 \pm 8\%$  (p = 0.11) lower. As a result, the intimal load bearing at 100 mmHg increased to  $23 \pm 1\%$  (p = 0.009), that of the adventitia dropped to  $16 \pm 2\%$  (p = 0.002), while that of the media was unaltered (Fig. 5C, D). Further, the shift in load bearing in response to the 60 mmHg pressure increased was milder at –  $3 \pm 3\%$  and +  $4 \pm 4\%$ for media and adventitia, respectively. Indeed, while



still exhibiting a biphasic  $C_{\theta\theta\theta\theta} - P$  relationship, adventitial stiffening with increasing pressure was less marked than that observed for the model with residual stresses.

 $C_{\theta\theta\theta\theta}$  at 160 mmHg was comparable in the three layers: 1.58 ± 0.34 MPa, 1.39 ± 0.26 MPa and 2.29 ± 1.35 MPa for intima, media and adventitia, respectively (Fig. 4H).



region and be analysed in this study. (1) the three cases are cases analysed in this study. (1) the three cases are cases analysed in this study. (1) the three cases are cases analysed in the study. (1) the three cases are cases analysed in this study. (1) the three cases are ca

Third, Fig. 4C, F present the layer-specific  $\sigma_{\theta\theta}$  and  $\sigma_{zz}$  as a function of pressure for the model where  $\mathbf{F}_{\text{residual},k} = \mathbf{G}^k$  (i.e. neglecting the contribution of  $\mathbf{F}_1$ ).  $\sigma_{\theta\theta}$  and  $\sigma_{zz}$  were lowest and highest at the adventitia and intima, respectively, throughout the entire investigated pressure range. Intimal  $\sigma_{\theta\theta}$  and  $\sigma_{zz}$  at 100 mmHg

increased further to  $0.140 \pm 0.022$  MPa (+  $82 \pm 21\%$  with respect to the full model, p = 0.010, and +  $14 \pm 2\%$  with respect to the model with no residual stresses, p = 0.041) and  $0.106 \pm 0.022$  MPa (+  $86 \pm 14\%$ , p = 0.028, and +  $35 \pm 16\%$ , p = 0.13). Intimal circumferential load bearing at 100 mmHg increased to  $27 \pm 2\%$  (p = 0.002 and p = 0.013

with respect to cases 1 and 2, respectively; Fig. 5E, F). Further,  $C_{\theta\theta\theta\theta}$  was lower in the adventitia than in the intima and media at most pressures (Fig. 4I), so that the adventitia did not act as a protective sleeve against high pressures. The shift in load bearing between adventitia and media when moving from 100 to 160 mmHg was negligible ( $-1 \pm 2\%$  and  $+ 2 \pm 2\%$ , respectively).

# 4 Discussion

Residual stresses play a fundamental role in arterial mechanics [21, 22]. However, modelling residual stresses from complex three-dimensional geometrical features of layer-specific stress-free configurations is non-trivial [20, 27] and such stresses are hence sometimes neglected in experimental studies on arterial structure and mechanics [28, 29]. Using a recently developed tri-layered modelling framework that relies solely on data from wall and layer-specific uniaxial mechanical testing, this study aimed to illustrate the effects of totally or partially neglecting residual stresses on the mechanical behaviour of the tri-layered arterial wall [30].

In 1986, Chuong and Fung [21] were the first to estimate the distribution of stretches throughout the wall thickness of the unloaded rabbit thoracic aorta from measures of its OA. They found that the circumferential stretch ranged from approximately -0.86 at the luminal surface to 1.14 at the outer adventitial surface, crossing 1 (i.e. null deformation) at 37% of the wall thickness. More recently, Holzapfel and colleagues [20] developed a thick-walled modelling framework to estimate the threedimensional layer-specific deformation of an unloaded vessel from measurements of the curvature of both circumferentially (i.e. estimating the OA) and axially oriented strips. When applied to the human aorta, their method estimated compression in both circumferential and axial directions for the intima, circumferential tension and axial compression for the media, and tension in both directions for the adventitia [20, 36]. In the present study, the circumferential stretch in  $\kappa_{\text{unloaded}}$  was 0.93 for the intima, 1.01 for the media and 1.04 for the adventitia. It is worth noting, however, that, given the thin-walled modelling approach used here, these values refer to the mid-wall point of each layer and are assumed to be constant throughout the layer thickness. The intimal, medial and adventitial mid-wall points were located at approximately 9%, 42% and 85% of the total wall thickness from the luminal surface, respectively. Therefore, deformations at the luminal and outer adventitial surface likely exceed average values for the intima and adventitia [21].

The inflation of a cylindrical structure induces circumferential deformations that decrease monotonically from the luminal surface to the outer surface of the adventitia (see Eq. 6). Researchers generally agree that residual stresses aim at counterbalancing this effect, guaranteeing an almost uniform distribution of stresses throughout the wall thickness in the physiological range of pressures [21, 24, 36]. Indeed, when residual stresses were included in the tri-layered arterial model, the stress-pressure relationships of intima, media, and adventitia were almost superimposed throughout most of the pressure range investigated in this study. However, at high pressures (i.e. >140-150 mmHg), the adventitial stress considerably deviated from that of the intima and media due to its rapid stiffening with increasing pressure. This finding suggests a strong functional coupling between the layerspecific microstructure, mechanical properties, and deposition stretches (i.e.  $\mathbf{F}_{residual,k}$ ), allowing the adventitia to behave similarly to intima and media at normal physiological pressures and act as a protective sleeve that preserves the wall integrity at high- and supra-physiological pressures [2].

In agreement with previous findings [37], neglecting the layer-specific residual stresses affected the role individual layers play in the macroscopic behaviour of the wall; the intimal load bearing increased from 16 to 23%, reflecting a 55% increase in its circumferential stress. Furthermore, the adventitia lost its role of protective layer at high pressures. Recently, De Lucio et al. [28] performed a finite element simulation of the inflation of a tri-layered model of the human aneurysmatic aorta using previously determined layer-specific HGO-SEF model parameters of the human abdominal aorta with non-atherosclerotic intimal thickening [13]. Their model did not account for residual stresses (comparable to scenario 2 in our study) and estimated that the intima, constituting approximately 25% of the wall thickness, bore approximately 71% of the circumferential load, with only marginal contributions from media (14%) and adventitia (15%). While the nonatherosclerotic intimal thickening and the pathological geometrical features of the aneurysmatic wall likely contributed to concentrating circumferential stresses at the intima, these findings need to be considered with caution since neglecting the layer-specific residual stresses of the aneurysmatic wall [18] likely considerably altered the distribution of stresses across the wall thickness.

Among experimental methods, tension–inflation experiments most closely mimic the physiological multidirectional loading condition the arterial wall is subjected to in vivo [38]. However, the required specialised equipment is not available in every biomechanics laboratory and might pose some limits when simultaneously imaging the wall microstructure in human-sized arteries [6]. Therefore, planar uniaxial and biaxial tensile tests are still widely used to investigate arterial mechanics and microstructure ex vivo [10–14, 17]. Their inherent methodological limitations should, however, always be considered

when analysing their results, and the tri-layered modelling framework herein represents an attempt, in part, to address this issue. For example, planar uniaxial and biaxial tensile tests are commonly used to estimate functions that define the collagen recruitment with increasing stretch [39, 40]. Chow and colleagues [11] combined planar biaxial mechanical testing (comparable to scenario 3 in our study) and multiphoton microscopy to investigate the rearrangement of collagen and elastin microstructures in response to load and found that the recruitment of adventitial collagen showed a 20% strain delay with respect to that of the media. It is worth noting, however, that our model predicted a 7% circumferential elongation of the adventitia in the deformation from  $\kappa_{\text{composite}}$ to  $\kappa_{unloaded}$  (**F**<sub>1</sub>). This deformation would compensate, at least in part, the observed delayed recruitment of adventitial collagen. Indeed, in our model, neglecting  $F_1$  considerably limited the contribution of the adventitia to the macroscopic wall behaviour (Fig. 4C, F, I).

### 4.1 Limitations

In this study, the three layers were modelled as three concentric membranes (thin-walled approach). Therefore, all modelled parameters, including  $\mathbf{F}_{\text{residual},k}$ , and biomechanical variables represent mean values across the layer thickness. In reality, arterial layers are not as homogeneous and  $\mathbf{F}_{\text{residual},k}$  is not constant across the layer thickness. This leads to the existence of layer-specific opening angles, possibly affecting the stress distribution across the layer thickness [14, 20]. A thick-walled modelling approach would further refine the analysis, but model complexity would also increase considerably as would the complexity of estimating the related geometrical parameters (layers' three-dimensional curvatures).

The layer-specific model parameters were estimated by fitting the intact wall and layer-specific response to uniaxial testing in the circumferential and axial directions, simultaneously, as done previously [13, 14, 30]. While this approach indirectly yields biaxial information, biaxial experiments could further refine our analysis, providing direct information on the coupling between circumferential and axial responses.

We did not perform any imaging of the layers' crosssection to visually verify the accuracy of the peeling process. Nevertheless, layer-specific thicknesses found here are in line with those reported in other studies [13, 14, 41]. Further, the thickness of each layer was measured three times along the strip length, thus allowing to evaluate whether the peeling process was performed uniformly. Layer-specific inter-sample standard deviations of the thickness were comparable to those found in the intact wall (on average 5–9% of the sample thickness). This suggests that the variability in layer thickness along the sample length mainly reflected actual variability in intact wall thickness [42] rather than being a sign of sub-optimal layer separation.

# **5** Conclusions

In this study, a recently developed tri-layered modelling framework was used to investigate the effect of residual stresses on the mechanics of the tri-layered arterial wall. While isolated layers exhibited considerably different behaviour, their pre-deformed state make their response strikingly similar at physiological pressures. Conversely, at high pressures, the adventitial mechanical response deviated from that of intima and media, conferring its protective function.

#### Abbreviations

a: Adventitia;  $\alpha$ : Collagen fibre orientation parameter;  $c_1$ : Collagen fibre stiffness-like parameter;  $c_2$ : Collagen fibre non-linearity parameter;  ${f C}$ : Right Cauchy–Green strain tensor;  $\mathcal{C}$ : Wall material stiffness;  $\mathbf{F}_1$ : Deformation gradient mapping the deformation from  $\kappa_{composite}$  to  $\kappa_{unloaded}$ ;  $\mathbf{F}_2$ : Deformation gradient mapping the deformation from  $\kappa_{unloaded}$  to  $\kappa_{\text{tension-inflation}}$  **G**<sup>k</sup> Layer-specific deformation gradient mapping the deformation from  $\kappa_{
m isolated}$  to  $\kappa_{
m composite}$ ; HGO: Holzapfel–Gasser–Ogden; h: Arterial wall thickness in  $\kappa_{ ext{tension-inflation}}$ ; i: Intima;  $\kappa_{ ext{isolated}}$ : Isolated layer configuration in Cartesian coordinates ( $\mathcal{X}, \mathcal{Y}, \mathcal{Z}$ );  $\kappa_{composite}$ : Flat, tri-layered wall configuration in Cartesian coordinates  $(X, Y, \overline{Z})$ ;  $\kappa_{unloaded}$ : Unloaded, cylindrical, tri-layered vessel configuration in cylindrical coordinates  $(\Theta, R, Z)$ ;  $\kappa_{tension-inflation}$ : Axially stretch and pressurised, cylindrical, tri-layered vessel configuration in cylindrical coordinates ( $\theta, r, z$ );  $l_j$ : Length of the flat, tri-layered wall in the *j*-direction;  $L_j^k$ : Length of the isolated layer *k* in the *j*-direction;  $\hat{\lambda}_j^k$ : *j*-direction component of  $\mathbf{G}^k$ ;  $\Lambda_j$ : *j*-direction component nent of  $\mathbf{F}_1$ ;  $\lambda_j$ : *j*-direction component of  $\mathbf{F}_2$ ; m: Media;  $\mu$ : Elastin stiffnesslike parameter; OA: Opening angle;  $\Psi$ : Strain energy function;  $R_{
m internal}$ Unloaded luminal radius;  $r_{
m internal}$ : Loaded luminal radius; ho: Collagen fibre dispersion parameter; SEF: Strain energy function;  $\sigma$ : Modelled Cauchy stress tensor;  $\sigma_{exp}$ : Modelled Cauchy stress tensor.

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#### **Author Contributions**

AG and BS contributed to the study conception and to the development of the tri-layered modelling framework used in the study. AG contributed to the experimental data collection and analysis, and to the manuscript drafting. BS contributed to the critical appraisal and manuscript editing.

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#### Availability of Data and Materials

The data supporting this study are available from the corresponding author.

#### Declarations

#### Conflict of Interest

The authors declare that they have no competing interest.

#### **Ethics Approval**

N/A.

# **Consent to Participate**

N/A.

#### **Consent for Publication**

The authors give their consent for publication of this manuscript.

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